

Orthogonal Matrices and Rotations in \mathbb{R}^3

Worksheet by Frank Rooney

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> restart: with(LinearAlgebra): with(plots): with(plottools):  
Warning, the name changecoords has been redefined  
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Outline

The objectives are:

- 1) How to find the rotation in \mathbb{R}^3 represented by a given proper orthogonal matrix.
- 2) How to find the orthogonal matrix that represents a rotation in \mathbb{R}^3

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>
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Rotations in \mathbb{R}^3 corresponding to a given orthogonal matrix

An orthogonal matrix Q satisfies

$$Q \cdot Q^T = Q^T \cdot Q = I$$

The vector corresponding to the axis of rotation is left unchanged by the matrix in other words it is an eigenvector of Q with eigenvalue 1.

Firstly let's generate a random orthogonal matrix

```
> va := RandomVector(3):  
vb := RandomVector(3):  
vec1 := Normalize(va, Euclidean):  
vc := vb - (vec1.vb)*vec1:  
vec2 := Normalize(vc, Euclidean):  
vec3 := CrossProduct(vec1, vec2):  
Q := <vec1 | vec2 | vec3>;
```

$$Q := \begin{bmatrix} \frac{46}{2319} \sqrt{1546}, & \frac{58507}{13020320013} \sqrt{8680213342}, & \\ -\frac{3307}{26040640026} \sqrt{1546} \sqrt{8680213342}, & [-\frac{31}{4638} \sqrt{1546}, & \\ \frac{249791}{26040640026} \sqrt{8680213342}, & \frac{2585}{26040640026} \sqrt{1546} \sqrt{8680213342}, & [\frac{67}{4638} \sqrt{1546}, \end{bmatrix} \quad (2.1)$$

$$\left[-\frac{45101}{26040640026} \sqrt{8680213342}, \frac{5737}{26040640026} \sqrt{1546} \sqrt{8680213342} \right]$$

>

Just to check.

>

> **QT := Transpose(Q):**
QT.Q; Q.QT;

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

(2.2)

Now the axis of rotation is the eigenvector corresponding to the eigenvalue 1.

> **EigQ := Eigenvectors (Q):**
evalf(%);

$$\begin{bmatrix} 0.7403466090 + 0.6722253326 I \\ 0.7403466090 - 0.6722253326 I \\ 1. \end{bmatrix}$$

(2.3)

$$\left[\begin{array}{l} [-0.2663632913 + 1.034203589 I, -0.2663632913 - 1.034203589 I, 0.7704195769], \\ [-0.5242027802 - 0.5255101304 I, -0.5242027802 + 0.5255101304 I, 1.516185218], [\\ 1., 1., 1.] \end{array} \right]$$

> The axis is the eigenvector corresponding to 1. (Note the complex eigenvalues are of the form $\cos \theta \pm i \sin \theta$, with θ the rotation angle)

> **A := DeleteColumn(EigQ[2],1..2):**
B := <A[1,1],A[2,1],A[3,1]>:
Normalize(B,Euclidean):
axis1 := evalf(%);

$$axis1 := \begin{bmatrix} 0.3904995968 \\ 0.7685029483 \\ 0.5068661397 \end{bmatrix} \quad (2.4)$$

> There is another way to find the axis of rotation. If w is the eigenvector of Q corresponding to 1 then
 $Q.w = w = Q^T.w$ so $(Q - Q^T).w = 0$
 or if q is the axial vector corresponding to $Q - Q^T$ this means $q \times w = 0$ or w is parallel to q

> **Qsk := Q - QT;**
q := < Qsk[2,3],Qsk[3,1],Qsk[1,2]>;
Normalize(q,Euclidean);
axis := evalf(%);

$$axis := \begin{bmatrix} 0.3904995967 \\ 0.7685029478 \\ 0.5068661393 \end{bmatrix} \quad (2.5)$$

>
 > To find the angle of rotation we rely on the invariance of the trace. A rotation about the z axis has the form

$$Rot = \begin{bmatrix} \cos(\theta) & -\sin(\theta) & 0 \\ \sin(\theta) & \cos(\theta) & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

There is some orthogonal matrix P that rotates the z axis to the axis of rotation for Q .
 Then $Q = P . Rot . P^T$
 $Trace(Q) = Trace(P . Rot . P^T) =$
 $Trace(P^T . P . Rot) = Trace(Rot) = 1 + 2 \cos(\theta)$

> **trQ := Trace(Q) ;**
arccos((trQ-1)/2);
theta = evalf(%);

$$\theta = 0.7372105004 \quad (2.6)$$

>

▼ Finding the matrix corresponding to a given rotation in \mathbb{R}^3

The rotation matrix will depend only on the axis \mathbf{n} and the angle of rotation α so it has to have the form
 $Q = A I + B \mathbf{n} \otimes \mathbf{n} + C \mathbf{N}$

where \mathbf{N} is the skew symmetric matrix with \mathbf{n} as its axial vector and A, B, and C are functions of α . Using the facts that $\mathbf{Q} \cdot \mathbf{n} = \mathbf{n}$, $\text{Trace}(\mathbf{Q}) = 1 + 2 \cos \alpha$, and $\mathbf{Q} \cdot \mathbf{Q}^T = \mathbf{I}$, we calculate that $A = \cos \alpha$, $B = 1 - \cos \alpha$ and $C = \sin \alpha$
 First get a random axis and random angle of rotation.

```
> vec := RandomVector(3):
n := Normalize(vec, Euclidean):
nbyn := << n[1]*n[1], n[2]*n[1], n[3]*n[1]> | <n[1]*n[2], n[2]*n[2], n[3]*n[2]> | <n[1]*n[3], n[2]*n[3], n[3]*n[3]>>:
N := << 0, n[3], -n[2]> | <-n[3], 0, n[1]> | <n[2], -n[1], 0>>:
alpha := Pi/6:
Qrot := cos(alpha) * IdentityMatrix(3) + (1-cos(alpha))*nbyn + sin(alpha)*N;
```

$$Qrot := \begin{bmatrix} \left[\frac{113}{468} \sqrt{3} + \frac{121}{234}, \frac{77}{234} - \frac{77}{468} \sqrt{3} - \frac{2}{39} \sqrt{26}, \frac{44}{117} - \frac{22}{117} \sqrt{3} + \frac{7}{156} \sqrt{26} \right], [& (3.1) \\ \frac{77}{234} - \frac{77}{468} \sqrt{3} + \frac{2}{39} \sqrt{26}, \frac{185}{468} \sqrt{3} + \frac{49}{234}, \frac{28}{117} - \frac{14}{117} \sqrt{3} - \frac{11}{156} \sqrt{26}], [\\ \frac{44}{117} - \frac{22}{117} \sqrt{3} - \frac{7}{156} \sqrt{26}, \frac{28}{117} - \frac{14}{117} \sqrt{3} + \frac{11}{156} \sqrt{26}, \frac{85}{234} \sqrt{3} + \frac{32}{117}] \end{bmatrix}$$

```
>
Check this has the correct properties.
> vec; Qrot.vec; arccos((Trace(Qrot)-1)/2);
Qrot . Transpose(Qrot);simplify(%);
Transpose(Qrot).Qrot;simplify(%);
```

$$\begin{bmatrix} 22 \\ 14 \\ 16 \end{bmatrix} \begin{bmatrix} 22 \\ 14 \\ 16 \end{bmatrix} \frac{1}{6} \pi$$

[

$$\begin{aligned}
& \left(\frac{113}{468} \sqrt{3} + \frac{121}{234} \right)^2 + \left(\frac{77}{234} - \frac{77}{468} \sqrt{3} - \frac{2}{39} \sqrt{26} \right)^2 \\
& + \left(\frac{44}{117} - \frac{22}{117} \sqrt{3} + \frac{7}{156} \sqrt{26} \right)^2, \\
& \left(\frac{113}{468} \sqrt{3} + \frac{121}{234} \right) \left(\frac{77}{234} - \frac{77}{468} \sqrt{3} + \frac{2}{39} \sqrt{26} \right) \\
& + \left(\frac{77}{234} - \frac{77}{468} \sqrt{3} - \frac{2}{39} \sqrt{26} \right) \left(\frac{185}{468} \sqrt{3} + \frac{49}{234} \right) + \left(\frac{44}{117} - \frac{22}{117} \sqrt{3} \right. \\
& \left. + \frac{7}{156} \sqrt{26} \right) \left(\frac{28}{117} - \frac{14}{117} \sqrt{3} - \frac{11}{156} \sqrt{26} \right), \\
& \left(\frac{113}{468} \sqrt{3} + \frac{121}{234} \right) \left(\frac{44}{117} - \frac{22}{117} \sqrt{3} - \frac{7}{156} \sqrt{26} \right) \\
& + \left(\frac{77}{234} - \frac{77}{468} \sqrt{3} - \frac{2}{39} \sqrt{26} \right) \left(\frac{28}{117} - \frac{14}{117} \sqrt{3} + \frac{11}{156} \sqrt{26} \right) \\
& + \left(\frac{44}{117} - \frac{22}{117} \sqrt{3} + \frac{7}{156} \sqrt{26} \right) \left(\frac{85}{234} \sqrt{3} + \frac{32}{117} \right) \Big] [\\
& \left(\frac{113}{468} \sqrt{3} + \frac{121}{234} \right) \left(\frac{77}{234} - \frac{77}{468} \sqrt{3} + \frac{2}{39} \sqrt{26} \right) \\
& + \left(\frac{77}{234} - \frac{77}{468} \sqrt{3} - \frac{2}{39} \sqrt{26} \right) \left(\frac{185}{468} \sqrt{3} + \frac{49}{234} \right) + \left(\frac{44}{117} - \frac{22}{117} \sqrt{3} \right. \\
& \left. + \frac{7}{156} \sqrt{26} \right) \left(\frac{28}{117} - \frac{14}{117} \sqrt{3} - \frac{11}{156} \sqrt{26} \right), \\
& \left(\frac{77}{234} - \frac{77}{468} \sqrt{3} + \frac{2}{39} \sqrt{26} \right)^2 + \left(\frac{185}{468} \sqrt{3} + \frac{49}{234} \right)^2 \\
& + \left(\frac{28}{117} - \frac{14}{117} \sqrt{3} - \frac{11}{156} \sqrt{26} \right)^2, \\
& \left(\frac{77}{234} - \frac{77}{468} \sqrt{3} + \frac{2}{39} \sqrt{26} \right) \left(\frac{44}{117} - \frac{22}{117} \sqrt{3} - \frac{7}{156} \sqrt{26} \right) + \left(\frac{185}{468} \sqrt{3} \right. \\
& \left. + \frac{49}{234} \right) \left(\frac{28}{117} - \frac{14}{117} \sqrt{3} + \frac{11}{156} \sqrt{26} \right) \\
& + \left(\frac{28}{117} - \frac{14}{117} \sqrt{3} - \frac{11}{156} \sqrt{26} \right) \left(\frac{85}{234} \sqrt{3} + \frac{32}{117} \right) \Big] [
\end{aligned}$$

$$\begin{aligned}
& \left(\frac{113}{468} \sqrt{3} + \frac{121}{234} \right) \left(\frac{44}{117} - \frac{22}{117} \sqrt{3} - \frac{7}{156} \sqrt{26} \right) \\
& + \left(\frac{77}{234} - \frac{77}{468} \sqrt{3} - \frac{2}{39} \sqrt{26} \right) \left(\frac{28}{117} - \frac{14}{117} \sqrt{3} + \frac{11}{156} \sqrt{26} \right) \\
& + \left(\frac{44}{117} - \frac{22}{117} \sqrt{3} + \frac{7}{156} \sqrt{26} \right) \left(\frac{85}{234} \sqrt{3} + \frac{32}{117} \right), \\
& \left(\frac{77}{234} - \frac{77}{468} \sqrt{3} + \frac{2}{39} \sqrt{26} \right) \left(\frac{44}{117} - \frac{22}{117} \sqrt{3} - \frac{7}{156} \sqrt{26} \right) + \left(\frac{185}{468} \sqrt{3} \right. \\
& \left. + \frac{49}{234} \right) \left(\frac{28}{117} - \frac{14}{117} \sqrt{3} + \frac{11}{156} \sqrt{26} \right) \\
& + \left(\frac{28}{117} - \frac{14}{117} \sqrt{3} - \frac{11}{156} \sqrt{26} \right) \left(\frac{85}{234} \sqrt{3} + \frac{32}{117} \right), \\
& \left(\frac{44}{117} - \frac{22}{117} \sqrt{3} - \frac{7}{156} \sqrt{26} \right)^2 + \left(\frac{28}{117} - \frac{14}{117} \sqrt{3} + \frac{11}{156} \sqrt{26} \right)^2 \\
& + \left(\frac{85}{234} \sqrt{3} + \frac{32}{117} \right)^2 \Big]
\end{aligned}$$

$$\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

[

$$\begin{aligned}
& \left(\frac{113}{468} \sqrt{3} + \frac{121}{234} \right)^2 + \left(\frac{77}{234} - \frac{77}{468} \sqrt{3} + \frac{2}{39} \sqrt{26} \right)^2 \\
& + \left(\frac{44}{117} - \frac{22}{117} \sqrt{3} - \frac{7}{156} \sqrt{26} \right)^2, \\
& \left(\frac{113}{468} \sqrt{3} + \frac{121}{234} \right) \left(\frac{77}{234} - \frac{77}{468} \sqrt{3} - \frac{2}{39} \sqrt{26} \right) + \left(\frac{77}{234} - \frac{77}{468} \sqrt{3} \right. \\
& \left. + \frac{2}{39} \sqrt{26} \right) \left(\frac{185}{468} \sqrt{3} + \frac{49}{234} \right)
\end{aligned}$$

$$\begin{aligned}
& + \left(\frac{44}{117} - \frac{22}{117} \sqrt{3} - \frac{7}{156} \sqrt{26} \right) \left(\frac{28}{117} - \frac{14}{117} \sqrt{3} + \frac{11}{156} \sqrt{26} \right), \\
& \left(\frac{113}{468} \sqrt{3} + \frac{121}{234} \right) \left(\frac{44}{117} - \frac{22}{117} \sqrt{3} + \frac{7}{156} \sqrt{26} \right) + \left(\frac{77}{234} - \frac{77}{468} \sqrt{3} \right. \\
& \left. + \frac{2}{39} \sqrt{26} \right) \left(\frac{28}{117} - \frac{14}{117} \sqrt{3} - \frac{11}{156} \sqrt{26} \right) \\
& + \left(\frac{44}{117} - \frac{22}{117} \sqrt{3} - \frac{7}{156} \sqrt{26} \right) \left(\frac{85}{234} \sqrt{3} + \frac{32}{117} \right) \Big] [\\
& \left(\frac{113}{468} \sqrt{3} + \frac{121}{234} \right) \left(\frac{77}{234} - \frac{77}{468} \sqrt{3} - \frac{2}{39} \sqrt{26} \right) + \left(\frac{77}{234} - \frac{77}{468} \sqrt{3} \right. \\
& \left. + \frac{2}{39} \sqrt{26} \right) \left(\frac{185}{468} \sqrt{3} + \frac{49}{234} \right) \\
& + \left(\frac{44}{117} - \frac{22}{117} \sqrt{3} - \frac{7}{156} \sqrt{26} \right) \left(\frac{28}{117} - \frac{14}{117} \sqrt{3} + \frac{11}{156} \sqrt{26} \right), \\
& \left(\frac{77}{234} - \frac{77}{468} \sqrt{3} - \frac{2}{39} \sqrt{26} \right)^2 + \left(\frac{185}{468} \sqrt{3} + \frac{49}{234} \right)^2 \\
& + \left(\frac{28}{117} - \frac{14}{117} \sqrt{3} + \frac{11}{156} \sqrt{26} \right)^2, \\
& \left(\frac{77}{234} - \frac{77}{468} \sqrt{3} - \frac{2}{39} \sqrt{26} \right) \left(\frac{44}{117} - \frac{22}{117} \sqrt{3} + \frac{7}{156} \sqrt{26} \right) + \left(\frac{185}{468} \sqrt{3} \right. \\
& \left. + \frac{49}{234} \right) \left(\frac{28}{117} - \frac{14}{117} \sqrt{3} - \frac{11}{156} \sqrt{26} \right) + \left(\frac{28}{117} - \frac{14}{117} \sqrt{3} \right. \\
& \left. + \frac{11}{156} \sqrt{26} \right) \left(\frac{85}{234} \sqrt{3} + \frac{32}{117} \right) \Big] [\\
& \left(\frac{113}{468} \sqrt{3} + \frac{121}{234} \right) \left(\frac{44}{117} - \frac{22}{117} \sqrt{3} + \frac{7}{156} \sqrt{26} \right) + \left(\frac{77}{234} - \frac{77}{468} \sqrt{3} \right. \\
& \left. + \frac{2}{39} \sqrt{26} \right) \left(\frac{28}{117} - \frac{14}{117} \sqrt{3} - \frac{11}{156} \sqrt{26} \right) \\
& + \left(\frac{44}{117} - \frac{22}{117} \sqrt{3} - \frac{7}{156} \sqrt{26} \right) \left(\frac{85}{234} \sqrt{3} + \frac{32}{117} \right), \\
& \left(\frac{77}{234} - \frac{77}{468} \sqrt{3} - \frac{2}{39} \sqrt{26} \right) \left(\frac{44}{117} - \frac{22}{117} \sqrt{3} + \frac{7}{156} \sqrt{26} \right) + \left(\frac{185}{468} \sqrt{3} \right. \\
& \left. + \frac{49}{234} \right) \left(\frac{28}{117} - \frac{14}{117} \sqrt{3} - \frac{11}{156} \sqrt{26} \right) + \left(\frac{28}{117} - \frac{14}{117} \sqrt{3} \right. \\
& \left. + \frac{11}{156} \sqrt{26} \right) \left(\frac{85}{234} \sqrt{3} + \frac{32}{117} \right), \\
& \left(\frac{44}{117} - \frac{22}{117} \sqrt{3} + \frac{7}{156} \sqrt{26} \right)^2 + \left(\frac{28}{117} - \frac{14}{117} \sqrt{3} - \frac{11}{156} \sqrt{26} \right)^2
\end{aligned}$$

$$\left[\begin{array}{c} \\ \\ \\ + \left(\frac{85}{234} \sqrt{3} + \frac{32}{117} \right)^2 \\ \\ \end{array} \right] \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \tag{3.2}$$